

BOS/3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Peter Rice et al.

Application No.

09/699,224

Filed

October 27, 2000

Confirmation No.

8386

For

PECENTER 1600/2900 PEPTIDE MIMICS OF CONSERVED GONOCOCCAL

EPITOPES AND METHODS AND COMPOSITIONS

USING THEM

Group Art Unit

1645

Examiner

S. Devi

New York, New York August 26, 2003

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### DECLARATION OF PETER A. RICE, JUTAMAS NGAMPASUTADOL AND SUNITA GULATI UNDER 37 C.F.R. § 1.131

We, PETER A. RICE, a citizen of the United States, residing at 55 Norfolk Road, Chestnut Hill, Massachusetts 02467, USA, JUTAMAS NGAMPASUTADOL, a citizen of Thailand, residing at 8 St. Paul Street, Cambridge, Massachusetts 02139, USA, and SUNITA GULATI, a citizen of the United States, residing at 14 Wheeler Street, Gloucester, Massachusetts 01930, USA, hereby declare and state as follows:

1. We are the co-inventors of the claimed subject matter in the aboveidentified patent application.

2. We have been informed by our attorneys that the Examiner has rejected, *inter alia*, the following claims in the February 26, 2003 Office Action in this application:

claims 1-3, 10-13 and 15, as anticipated by the disclosure of Ngampasutadol et al., Abstracts of the Eleventh International Pathogenic Neisseria Conference, Nassif et al., Eds., p. 159 (1998) ("Ngampasutadol et al."); and claims 1-15 as obvious over the disclosure of Ngampasutadol et al.

- 3. We make this Declaration to establish that we conceived and reduced to practice the inventions of claims 1-15 in the United States before November 1, 1998, the publication date of the Ngampasutadol et al. abstract.
- 4. At the time we conceived and reduced to practice these inventions, we were all employed by Boston Medical Center.
- 5. Prior to November 1, 1998, we conceived and reduced to practice the following:
- Claim 1. A peptide mimic of a conserved gonococcal epitope not found on human blood group antigens, wherein said peptide mimic is capable of inducing in a mammal an immune response against said conserved gonococcal epitope.
- Claim 2. The peptide mimic according to claim 1, wherein the amino acid sequence of the peptide mimic comprises the sequence DE\_GLF.
- Claim 3. The peptide mimic according to claim 1, wherein the immune response is T-cell dependent.
- Claim 4. The peptide mimic according to claim 1 or 2, wherein the amino acid sequence of the peptide mimic comprises cysteine residues at each terminus.

- Claim 5. The peptide mimic according to claim 4, wherein a cyclic peptide is formed through disulfide bridges between the cysteine residues at each terminus of said sequence.
- Claim 6. The peptide mimic according to claim 5, wherein the peptide mimic further comprises at least one tail for coupling to a second agent.
- Claim 7. The peptide mimic according to claim 6, wherein the second agent is an adjuvant.
- Claim 8. The peptide mimic according to claim 1 or 2, wherein the peptide mimic further comprises an adjuvant or a carrier protein.
- Claim 9. The peptide mimic according to claim 1 or 2, wherein the peptide mimic is part of a multiple antigen peptide.
- Claim 10. The peptide mimic according to claim 1 or 2, wherein said peptide mimic competes with gonococcal LOS for binding to monoclonal antibody 2C7.
- Claim 11. A peptide mimic which immunospecifically binds to an antibody that binds to an oligosaccharide epitope of N. gonorrhoeae, which oligosaccharide epitope is not present in human blood group antigens.
- Claim 12. The peptide mimic according to claim 11, wherein the peptide mimic binds to monoclonal antibody 2C7.
- Claim 13. The peptide mimic according to claim 11, wherein the peptide mimic binds to a monoclonal antibody produced by immunizing a mammal with an anti-idiotypic monoclonal antibody, or fragment thereof, produced by a hybridoma cell line having the characteristics of HB 11311 as deposited with the ATCC.
- Claim 14. The peptide mimic according to claim 11, wherein the peptide mimic is part of a multiple antigen peptide.

- Claim 15. A composition for immunizing against N. gonorrhoeae infection comprising an immunoprophylactically effective amount of a peptide mimic according to any one of claims 1-3, 5-7, 9 or 11-14.
- 6. We have attached hereto, as Exhibit A, a true copy of a page from one of Dr. Ngampasutadol's research notebooks. The data shown on this page were generated in experiments conceived and conducted by us prior to November 1, 1998 and the entries on this page were made by Dr. Ngampasutadol prior to November 1, 1998 according to her regular and routine practice of keeping laboratory notebooks. The data show the peptide sequences of PEP1-7, which are recited throughout the specification and in Figure 1 of the present application. These data were and are considered to be confidential.
- from one of Dr. Ngampasutadol's research notebooks. The data shown on this page were generated in experiments conceived and conducted by us prior to November 1, 1998 and the entries on this page were made by Dr. Ngampasutadol prior to November 1, 1998 according to her regular and routine practice of keeping laboratory notebooks. The data show the inhibition of mAb 2C7 binding to LOS by the peptide sequences, PEP1-7, which are recited throughout the specification and Figure 1 of present application. The inhibition of mAb 2C7 binding to LOS by PEP1-7 demonstrates that PEP1-7 binds competitively to LOS. These data were and are considered to be confidential.
- 8. Exhibits A and B establish that we had completed our claimed inventions related to peptide mimics of conserved gonococcal epitopes prior to the publication of the Ngampasutadol *et al.* abstract relied upon by the Examiner.

9. We declare further that all statements made herein of our own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18, United States Code, and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

Peter A. Rice

Signed at

this 19 day of August, 2003.

Jutamas Ngampasutadol

Signed at

this 19 day of August, 2003.

Sunita Gulati

Signed at

this  $\underline{19^{15}}$  day of August, 2003.

# **EXHIBIT A**



Jutamas

Nyampasintocloi

Acik 4

100 sheets • 200 pages 9¾ x 7½ in/24.7 x 19.0 cm wide ruled • 09910

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# **EXHIBIT B**



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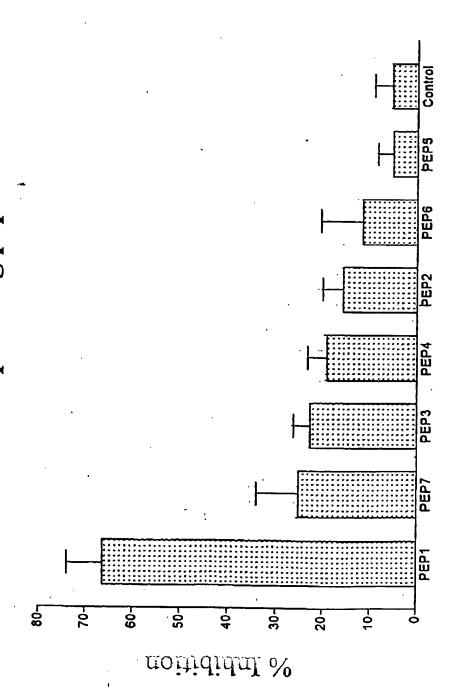
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15.

Inhibition of mAb 2C7 binding to LOS by E. coli clones expressing peptide fusions



E. coli clones

BOS/3



Mail No. EI187449230US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Peter Rice et al.

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09/699,224

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Group Art Unit

1645

Examiner

S. Devi

New York, New York August 26, 2003

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### DECLARATION OF PETER A. RICE UNDER 37 C.F.R. § 1.132

I, PETER A. RICE hereby declare and state as follows:

- 1. I am one of the co-inventors of the subject matter of the aboveidentified application.
- 2. I am currently Chief of the Section of Infectious Diseases of Boston Medical Center, 650 Albany Street, Boston, MA. I have held this position since 1996.
- 3. I received an M.D. in 1969 from the University of Pennsylvania School of Medicine. I completed my residency in 1974 at Peter Brigham Hospital. From

T-005 P.013/067 F-010

1974-1977 I held a clinical fellowship in Infectious Diseases at Harvard Medical School.

I have published over 50 scientific papers in peer-reviewed journals in the relevant field of research. A copy of my curriculum vitae is attached as Exhibit A.

- 4. A major portion of my clinical research over the past twenty years has been devoted to the pathogenesis of gonococcal infection and host response of patients afflicted with *Neisseria gonorrhoeae* infection. Publications pertaining to my research may be found listed in my curriculum vitae (Exhibit A).
- 5. I am informed and believe that certain claims in the above-identified application have been rejected based upon United States patents 5,476,784 ("'784 patent"); 5,939,067 ("'067 patent") and 6,099,839 ("'839 patent"), collectively, "the three patents." In particular, I understand that the Examiner believes that certain of the peptide mimics claimed in the present application are unpatentable under the judicially created doctrine of obviousness-type double patenting over the three patents, anticipated under 35 U.S.C. § 102(e) by the '869 patent, anticipated under 35 U.S.C. § 102(e) or 102(a) by the '067 patent, anticipated by the '784 patent under 35 U.S.C. § 102(b) and/or obvious under 35 U.S.C. § 103(a) over the '784 patent.
- 6. I make this declaration in support of the Reply to Office Action and Amendment, filed herewith in response to the February 26, 2003 Office action received in the above-identified application.
- 7. Specifically, I make this declaration in support of applicants' argument that the claimed subject matter of the present application is patentably distinct from the subject matter of the three patents.
- 8. In rejecting the pending claims, it appears that the Examiner is under the impression that the peptide mimics of the present invention were derived from

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the anti-idiotypic antibodies of the three patents, and that the claimed peptide mimics have essentially the same properties of fragments of anti-idiotope antibodies discussed in the three patents.

- 9. This is not the case. The peptide mimics of the instant invention were generated by a selection method from a commercially available peptide library (See specification, e.g., page 12, line 28 to page 13, line 8). Therefore, the claimed peptide mimics have a derivation independent of the anti-idiotype antibodies disclosed in the three patents.
- described in the instant invention, nor do they refer to the use of any peptide mimics in the prevention or treatment of *N. gonorrhoeae* infections. The term "fragments" is defined in the '784, '067 and '839 patents as "portions of intact immunoglobulins that retain antigen binding specificity, for example, Fab fragments, Fab' fragments, F(ab')<sub>2</sub> fragments and F(v) fragments, fragments comprised of one or more complementarity determining region(s) (CDR), heavy chain monomers or dimers, light chain monomers or dimers, dimers consisting of one heavy and one light chain, and the like" (*See*, *e.g.*, '784 patent column 5, lines 30-37).
- In contrast, applicants' claimed peptide mimics are not portions of intact immunoglobulins. Furthermore, one of ordinary skill in the art as of the filing date understood that the fragments described in the three patents typically contain at least 50 amino acid residues. In the instant application, the claimed peptide mimics are defined as linear or cyclic chains of amino acids, usually at least 4 and less than 50 amino acids in length, which exhibit an immunological antibody binding profile similar to that of a

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known epitope (See, e.g., specification at page 12, lines 1-6). The three patents do not discuss or even mention such peptide mimics.

- 12. Moreover, it can be seen that the structure of the peptide mimics of the instant invention is distinct from the structure of the anti-idiotypic antibodies of the three patents. Attached hereto as Exhibit B are data showing the alignment of the amino acid sequence of one of the claimed peptide mimics of the instant invention, PEP1, with the sequences of the variable heavy and light chain subunits of the CA1 anti-idiotypic antibody discussed in the three patents. These variable heavy and light chain subunit sequences are the sequences that would be expected to be functionally analogous to the sequences of the instantly claimed peptide mimics. Using the BLAST sequence alignment program (http://www.ncbi.nlm.gov), it can be seen that there is no significant homology between the amino acid sequence of PEP1 and the CA1 anti-idiotypic antibody variable subunits. This demonstrates that the instantly claimed peptide mimics are structurally distinct from fragments of anti-idiotypic antibodies of the three patents.
- knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Peter A. Rice, M.D.

Signed this \_\_\_\_\_\_day of

August, 2003 at Boston, Massachusetts.

### **EXHIBIT A**

٠, ٠, ٠

Address:

Evans Biomedical Research Center

Boston Medical Center 650 Albany Street

Boston, Massachusetts 02118

Work: 617-414-5282

617-414-5280 (fax)

. parice@bu.edu (e-mail)

F-010

55 Norfolk Road

Chestnut Hill, Massachusetts 02467 Home: 617-738-6032

Date of birth:

May 25, 1942

Place of birth:

Orange, New Jersey

Education:

1964

B.E.(Engineering)

Yale University, New Haven, Connecticut.

1965

Special Student

Yale University

1969

M.D.

University of Pennsylvania, Philadelphia,

Pennsylvania.

Medical School Training Appointments:

1967 (Summer)

Trainee in Anesthesiology, Appointed by the American Society of Anesthesiology,

Mayo Clinic, Rochester, Minnesota.

1968 (Summer)

Clinical Clerk in Medicine, Western General Hospital, University of Edinburgh,

Edinburgh, Scotland.

#### Post-doctoral Training

### Internship and Residences:

1969-70

Intern in Medicine, Department of Medicine, Yale-New Haven

Hospital, New Haven, Connecticut.

1970-71

Assistant Resident in Medicine, Department of Medicine, Yale-New Haven

Hospital, New Haven, Connecticut.

1973-74

Senior Resident Physician, Department of Medicine, Peter Bent Brigham Hospital,

Boston, Massachusetts.

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Curriculum Vitae

Peter Alan Rice

Address:

5/30/03

Evans Biomedical Research Center

Boston Medical Center 650 Albany Street

Boston, Massachusetts 02118

Work: 617-414-5282

617-414-5280 (fax)

.parice@bu.edu (e-mail)

T-005 P.018/067 F-010

55 Norfolk Road

Chestnut Hill, Massachusetts 02467 Home: 617-738-6032

Date of birth:

May 25, 1942

Place of birth:

Orange, New Jersey

Education:

1964 B.E.(Engineering)

M.D.

Yale University, New Haven, Connecticut.

1965

Special Student

Yale University

1969

University of Pennsylvania, Philadelphia,

Pennsylvania.

Medical School Training Appointments:

1967 (Summer)

Trainee in Anesthesiology, Appointed by the American Society of Anesthesiology,

Mayo Clinic, Rochester, Minnesota.

1968 (Summer)

Clinical Clerk in Medicine, Western General Hospital, University of Edinburgh,

Edinburgh, Scotland.

#### Post-doctoral Training

#### Internship and Residences:

1969-70 Intern in Medicine, Department of Medicine, Yale-New Haven

Hospital, New Haven, Connecticut.

1970-71 Assistant Resident in Medicine, Department of Medicine, Yale-New Haven

Hospital, New Haven, Connecticut.

1973-74 Senior Resident Physician, Department of Medicine, Peter Bent Brigham Hospital,

Boston, Massachusetts.

1977-81 Assistant Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.

1981-88 Associate Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.

1984- Associate Professor of Microbiology, Department of Microbiology, Boston University School of Medicine, Boston, Massachusetts.

1985- Associate Professor, Division of Graduate Medical Sciences, Boston University Gradua School, Boston, Massachusetts.

1988- Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.

1988- Professor of Public Health (Environmental Health), Boston University School of

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Public Health, Boston, Massachusetts.

### Academic Appointments, cont'd.

Co-Director, Infectious Diseases, Boston University School of Medicine, Boston, Massachusetts.
Director (Interim), Infectious Diseases, Boston University School of Medicine
Chief, Section of Infectious Diseases, Boston Medical Center, Boston University School of Medicine
Faculty Appointments and Promotions Committee, Boston University School of Medicine

#### Hospital Appointments:

1975-83	Assisting Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
1977-96	Associate Staff, Medicine (Infectious Diseases), University Hospital, Boston, Massachusetts.
1983-88	Associate Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
1988-96	Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
1990-00	Director, The Maxwell Finland Laboratory for Infectious Diseases
1995-	Active Staff Member, Jewish Memorial Hospital, Boston, Massachusetts
1996-	Active Staff Member, Boston Medical Center, Boston, Massachusetts

### Hospital Service Appointments (Boston City Hospital [now Boston Medical Center]):

Division of Clinical Laboratories, Boston City Hospital

## Associate Director The Maxwell Finland Laboratory for Infectious Diseases, Boston City Hospital

1977-90

1990-93

Direct	or
1977-92	Clinical Immunology Laboratory
1984-85	Allergy Clinic
1985-86	Infectious Disease Consult Clinic
1986-88	Sexually Transmitted Disease Clinic (Co-Director)
1990-96	Chief of Infectious Diseases, Boston City Hospital and Director, The Maxwell Finland
	Laboratory for Infectious Diseases
1993-94	Sexually Transmitted Disease Clinic (Co-Director)
1994-99	Sexually Transmitted Disease Clinic

### Committees (ad hoc committees [eg. search committees] not listed)

1981-88	Library Con	ımittee

1983-93 Laboratory Advisory Committee

AUG 19 '03 12:41 PAGE.20

Au <b>g</b> -19-03	12:46pm	From-			T-005	P.021/067	F-010
	1988-89		Department of Medicine Task	Force on AIDS			
	1988-89		Department of Medicine Plan	ning Group			
	1988-89		Medical Executive Committee	e (as representative for Medi	ical su	bspecialtie	s)
	1990		Credentials Committee	•			
	1995-96		Medical Directors Group, Ambulatory Care Center (ACC) Clinics, Boston City				
	1775-70	•	Hospital	·			
	1995		Policy Committee, Trustees of	f Health and Hospitals of th	e City	of Boston,	Inc.
Other	Professio	nal Po	sitions Held:				
0 1110.	1971-73		United States Public Health S	ervice, Epidemic Intelligenc	e Ser	vice (EIS)	Officer,
			Center for Disease Control, I	pidemiology Program, Bact	erial D	disease Bra	nch,
			Enteric Disease Section, Atla	nta, Georgia.			
	1975-78	3	Attending Physician in Medi	cine, South End Community	Healt	h Center,	
			Boston, Massachusetts.				
	1977		Consulting epidemiologist, V	Vrentham State School, Wre	ntham	, Massachi	isetts.
	1977-85	5	Associate Staff, Dana Farber	Cancer Institute, Boston, M	assach	usetts.	
	1983-84	4	Acting Director, The Maxwe		ection	of Infectio	us
			Diseases at Boston City Hos				•
	1996-0	0	Member, Evans Medical For	ndation Board of Directors			
	1996-		Steering Committee for PRO	CAARE, The Program for C	Collab	oration Ag	ainst AIDS and
			Related Epidemics (Global C	Communications for Health)			
Awa	rds and He	onors:					
	1964		Ranking scholar, Yale School	ol of Engineering.	C.)		lane
	1969		Roche Award (Gold Watch)	- Awarded to the member of	the g	raduating o	iass
			whose qualifications exemp	ity those of the ideal Americ	an pn	ysician.	
	1978-		National Institutes of Health				
	1978,7		Biomedical Research Grant				
	1979-8		Charles H. Hood Foundation	grant awardee	do-	ant awarde	
	1988-9	93	Centers for Disease Control	and Prevention (CDC) resea	iten år	ant awarde	.C
	1998-		Centers for Disease Control	and Prevention (CDC) resear	ncii gi	ailt awaiuc	. <b></b>
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			Infectious Disease Society				
			American College of Physi				
			American Society for Micro				
			American Sexually Transm				
				e Advancement of Science			•

American Association for the Advancement of Science

Massachusetts Infectious Disease Society, Councilor, 1992-94

#### Scientific Committees

National Institutes of Health (Charter Memberships [ad hoc assignments not listed])

1985-89	Bacteriology and Mycology Study Section, No. 2, (BM2) National Institute of Allergy and Infectious Diseases.
1991-95	Microbiology and Infectious Disease Research Committee (MIDRC), National Institute of Allergy and Infectious Diseases.
1995-99	National Institutes of Health Reviewers Reserve (NRR).

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3	12:45pm	From	T-005 P.022/067 F-010
	1980-90		Scientific dvisory Board, Hygeia Sciences, New Massachuseus.
	1980-94		Scientific Advisory Review Committee (SARC) for Biomedical Research Grant Support (BRSG), Trustees of Health and Hospitals of the City of Boston, Boston, Massachusetts; Chairman; 1983-1994.
	1995-00		Scientific Advisory Board, Binax Laboratories, Portland, ME
	1995-00		(PEACH) Study - PID Evaluation and Clinical Health Study, Data Safety Monitoring Board, University of Pittsburgh
	2000-		Syphilis Treatment Trial Data Safety Monitoring Board, STD Branch, NIH/NIAID
	2000-01		STD Treatment Guidelines Committee, Centers for Disease Control and Prevention
	2000		Co- chair, International Pathogenic Neisseria Conference, Galveston, TX
	2001		Consultation on the Control of Neisseria gonorrhoeae Infection in the United States, Moderator: Gonorrhea control among special populations, Centers for Disease Control and Prevention

#### Editorial Board

Aug-19-03

1986-99 Sexually Transmitted Diseases

#### Editorial Consultant, 2001-2002

New England Journal of Medicine Journal of Endotoxin Research Clinical Infectious Diseases Journal of Infectious Diseases

#### Major current research interests:

- 1. Immunology of bacterial infection
  - a. Immunology and pathogenesis of human infection with Neisseria gonorrhoeae
    - (1) Role of lipo-oligosaccharides (LOSs) and LOS derived oligosaccharides in promoting inflammation via complement-dependent antibody activity
    - (2) Mechanisms of complement activation (and inactivation) in gonococcal infection.
    - (3) Immunochemical studies of outer membrane proteins; their roles and those of antibodies directed against them in promoting gonococcal infection.
  - b. Immunology of infection with non-typable (NT) Haemophilus influenzae
    - (1) Immunochemical and biochemical studies of outer membrane proteins and antibodies directed against them that protect against mucosal infections in humans.
    - (2) Experimental models of NT H. influenzae infection
    - (3) Evolutionary relatedness (by ribosomal typing) of NT H. influenzae in predicitin homo-/heterogeneity of vaccine candidates at the molecular level.

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- 2. Bacterial immunochemistry
  - a. Development of monoclonal antibody reagents against bacterial species specific membrane antigens for use in the diagnosis of human infection.
  - b. Studies of bacterial antigens their anti-idiotope surrogates and peptides mimicking lipooligosaccharide epitopes for human immmunoprophylaxis.
- 3. Epidemiology of bacterial infections
  - a. Epidemiology of sexually transmitted diseases (STDs).
    - (1) Microbial and behavioral risk factors associated with transmission of *Chlamydia* trachomatis in adolescents.
    - (2) Optimizing strategies to provide STD partner services and reduce repeat infections in index cases.
    - (3) Pelvic inflammatory disease caused by N. gonorrhoeae and C. trachomatis, particularly silent disease.
    - (4) Disseminated gonococcal infection.
    - (5) Influence of immune mechanisms on the transmission and epidemiology of N. gonorrhoeae and C. trachomatis infection.
    - (6) Behavioral strategies to prevent transmission of sexually transmitted diseases (STDs).
- 4. New antimicrobial therapies for the treatment of STDs

#### Teaching Appointments:

1975-	Attending physician (Internal Medicine), In patient service, Boston City Hospital, (now Boston Medical Center) Boston University School of Medicine, Boston, MA
1976-87	Attending physician (Infectious Diseases), Dana Farber Cancer Institute, Harvard Medical School, Boston, MA
1977-84, 1994-00	Lecturer, Medicine 513MJ, Infectious Diseases, Harvard Medical School, Boston, MA.
1977-91, 1995-00	Lecturer and Laboratory Instructor, MED ME 711 (currently GMS MI 711) Microbiology Course, Boston University School of Medicine, Boston, MA.

1977- Infectious Disease Teaching Consultant, Teaching hospitals of Boston University School of Medicine; Boston Medical Center (formerly Boston City and University Hospitals).

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Aug-19-03 12:47pm From-		T-005 P.024/067 F-010
Trainees (Masters' students not  Post-doctoral fellows*  Steven L. Berk, MD  (10,18)	Dates	Curre osition  Dean of Texas Tech School  of Medicine in Amarillo
James P. O'Brien, MD (16,23,37,48)	1979-1981	Staff Physician, Alexian Brothers Hospital, Elk Grove Village, Illinois
Hanspeter E. Gnehm, MD (32,33)	1980-1982	Chief of Pediatrics, Kinderlink, Kantonsspital, Zurich, Switzlerland
Francisco J. Alvarado, MD [A-59,-61,-71,-72]	1984-1988	Chief, Geographic Medicine General Hospital, Cancun, Mexico
Peter A. Dale, MD (29,45,51,69)	1986-1988	Private Practice in Internal Medicine & Infectious Diseases, Central Vermont Medical Center
S. Patrick Donegan, MD (70,81,91)	1986-1988	Staff Physician, OB/GYN Department ASPEN Medical Group, Minnesota
Daniel P. McQuillen, MD (63,69,74,77)	1988-1991	Staff Physician Lahey Clinic, Burlington, MA
Sunita Gulati, DSc (77,78,82,90,93-95)	1993-1998	Research Assistant Professor of Medicine Boston University of Medicine
Sanjay Ram, MD (94,95,99,101)	1994-1998	Assistant Professor of Medicine, Boston University School of Medicine
Phillip G. Braslins, MD [A-144,-163,-164,-165]	1997-2000	Assistant Professor of Medicine (pending) Boston University School of Medicine
Guillermo E. Madico, MD	o, PhD 2000-2001	Postdoctoral Fellow, Boston University School of Medicine
Katherine Hsu, MD	2001-	STD Prevention Fellow, Association of Teachers of Preventative Medicine (ATM)/Centers for Disease Control and Prevention (CDC)
Alpana Prasad, PhD	2001-	Postdoctoral Fellow, Boston University School of Medicine
Jutamas Ngampasutadol, I	MD, PhD 2002 -	Postdoctoral Fellow, Boston University School of Medicine

<sup>\*</sup> Under direct supervision, participated in creative works (publication [or abstract] numbers) during period as a post-doctoral fellow and, if published later, from work during the post-doctoral fellowship period

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Aug-19-03 12:47pm From-Doctoral Students\*

Deyanira D. Garcia, PhD

1987-1990

Thesis title:-Purification, partial characterization, and immuno-reactivity of a 60 kDa Brucella melitensis B115 outer membrane protein, [A-61, A-71, A-72, A-73] (PhD awarded by School of Biologic Sciences, the National Polytechnical Institute, Mexico, DF, Mexico - 1995)

Sunita Gulati, DSc

1988-1993

Thesis title:- Anti-idiotope antibody as a surrogate vaccine immunogen for lipooligosaccharide (LOS) of Neisseria gonorrhoeae (52,62,69,74,80,88,89)

Gilles R. Bolduc, PhD

1991-1998

Thesis title: Combining phylogeny and selective DNA sequencing to examine a vaccine candidate (105)

Silke Getzlaff

1999- present (completing medical studies at Univ. Wurzburg)

Thesis title [proposed]: The role of capsule in complement interactions with meningococci of different groups. [A-154, A-157-159]

Jutamas Ngampasutadul, MD, PhD 1996-2002

Thesis title: Peptide mimic elicits bactericidal antibody response against an oligosaccharide epitope of Neisseria gonorrhoeae (111)

Invited lectureships at national and international conferences; those published as abstracts are listed ahead in ABSTRACTS (invited speaker). Visiting professorships and Grand Rounds presentations are not listed.

The functional roles of human antibodies directed against outer membrane antigens of Neisseria gonorrhoeae. Third International Pathogenic Neisseria Conference, Montreal, Canada, August 1982.

Immunologic features of LPS and LPS derived oligosaccharides: their interaction with naturally occurring antibodies and their potential for immunogenicity. Workshop of "newer" aspects in the development of a vaccine for gonorrhea. National Institutes of Health, Bethesda, MD, January, 1983.

Acute Pelvic Infection. International Meeting of Maternal-Child Health Care. Mexico City, Mexico, May, 1984.

Recent Advances in Gonococcal Infection. International Meeting of Maternal-Child Health Care. Mexico City, Mexico, May, 1984.

Interactions of antibodies and complement on bacterial surfaces: lessons learned from the gonococcus. Tenth International Convocation on Immunology. Vaccines: New Concepts and Developments, Buffalo, New York, June, 1986.

Mechanism of stable serum-resistance of Neisseria gonorrhoeae. Fifth International Pathogenic Neisse Conference, Noordwijkerhout, The Netherlands, October, 1986

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<sup>\*</sup> Under direct supervision, participated in creative works (publication [or abstract] numbers) during period as a graduate student and, if published later, from work during the pre-doctoral student period

Aug-19-03 12:47pm From- T-005 P.026/057 F-010
Competition of antibod and complement on bacterial surfaces - the gonococcal paradigm. Z/In
Interscience Conference of Antimicrobial Agents and Chemother 7, October, 1987.

Serum-Resistance of *N. gonorrhoeae*: Molecular basis. Sixth International Pathogenic Neisseria Conference, Atlanta, Georgia, No. MT2, October, 1988.

Specific roles of antibodies and complement in serum killing of Neisseria gonorrhoeae. American Society for Microbiology, New Orleans, Louisiana, May, 1989.

Blocking antibodies as they affect the immune system. Merck Sharp & Dohme Health Science Associate Infectious Disease Fellows Symposium, Albuquerque, New Mexico, August, 1990.

Neisseria gonorrhoeae employ diverse strategies to evade humoral host defenses. 31st Interscience Conference on Antimicrobial Agents and Chemotherapy, October, 1991.

Immunopathology of Gonorrhea. The Molecular Immunology of Sexually Transmitted Diseases. Sponsored by NIAID National Vaccine Program, Centers for Disease Control Food and Drug Administration and Department of Defense, Rocky Mountain Laboratories, Hamilton, Montana, July, 1991.

Antibodies, Microbes and Complement. Third Conference on Microbial Virulence Factors and the Human Immune Response. Oakland, CA September, 1991.

The male to female transmission of Neisseria gonorrhoeae is influenced by level of antibody to gonococcal Protein III. Eighth International Pathogenic Neisseria Conference, Mexico, October, 1992.

Pelvic inflammatory disease and prospects for a gonococcal vaccine. Infectious Disease '92; Life-Time Medical Television, Aired September 20, October 11, November 1, and 22, 1992.

Serum resistance of *Neisseria gonorrhoeae*: Does it thwart the inflammatory response and facilitate the transmission of infection? Microbial Pathogenesis and Immune Response. Sponsored by the NY Academy of Sciences, Orlando, FL, September, 1993.

Male Genitourinary Infections. Workshop on Ligase Chain Reaction (LCR) From Research to Clinical Laboratories. Sponsored by Abbott LCX Probe System, Taormina, Sicily, June, 1994.

A possible influence of vaccine induced Por, LOS, and Rmp antibodies on the outcome of intraurethral challenge with *Neisseria gonorrhoeae*. Ninth International Pathogenic Neisseria Conference, Winchester, England, September, 1994.

Immunologic and Microbiologic factors responsible for transmission of Neisseria gonorrhoeae and Chlamydia trachomatis. Workshop on Pelvic Inflammatory Disease. National Institute of Allergy and Infectious Diseases, Bethesda, Maryland, December, 1994.

Transmission of Gonorrhea and Chlamydial Infection from Men to Women: Efficiency and Sequelae. Sexually Transmitted Diseases in the HIV Era, Keystone, Colorado, April, 1995.

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Conference, Baltimore, Maryland, September 8-13, 1996.

A Randomized trial of Ceftriaxone and Doxycycline vs. Ofloxacin and Clindamycin in the treatment of Sexually Acquired Plasma Cell Endometritis. The 12<sup>th</sup> Meeting of the International Society of Sexually Transmitted Diseases Research (ISSTDR), Seville, Spain, October 19-22, 1997.

Infection in the upper genital tract: Does Chlamydia prevail persist and contribute to prolonged morbidity., Annual Meeting of the Swiss Society of Obstetrics and Gynecology, Geneva, Switzerland, June 17-20, 1998.

Interaction of N. gonorrhoeae lipooligosaccharide (LOS) and complement in the genital tract. The fifth conference of the International Endotoxin Society, Santa Fe, NM, September 12-15, 1998.

Pelvic Inflammatory Disease: Shortcomings in Recognition, Complications and Management, Where do we go from here? Current Topics in Infectious Diseases, Bermuda, April 16-18, 1999.

Complement and the Gonococcus; Does Innate Immunity Matter? Twelfth International Pathogenic Neisseria Conference, Galveston, TX, November 13-17, 2000.

How Pathogenic Neisseria Differ When Confronted by Complement. Fifteenth Annual Buffalo Conference on Microbial Pathogenesis, Microbial Pathogenesis Graduate Group & The Western New York Of The American Society For Microbiology, Amherst, NY, April 30, 2003

#### Personal

Spouse

Nancy Royster Rice, married August 22, 1965.

Daughter

Nicole Randolph Rice, born October 11, 1973.

External funding support for research and clinical programs, Peter A. Rice D., Principal Investigator

Current Support (Direct cost support for the current Fiscal Year [2003-04]

- +1. Cooperating clinic for sexually transmitted diseases (07/01/02 6/30/04) \$254,959. P.A. Rice, M.D. Principal Investigator. Source Commonwealth of Massachusetts, State Laboratory.
- \*2. Program Announcement 98094 (10/01/98-09/30/03 Measuring the Risk for Transmission and Sequelae from Chlamydial Disease in the Era of Amplification Testing, \$275,393. P.A. Rice, MD Principal Investigator. Source Centers for Disease Control and Prevention (CDC).
- \*3. RO1 AI 32725-07 (04/01/99-03/31/09). Immunology of Infection with Neisseria gonorrhoeae, \$293,625. P.A. Rice, M.D. Principal Investigator. Source National Institutes of Health.
- \*4. U19 AJ 38515-07 (09/30/99 08/31/04). Sexually Transmitted Disease Coop. Research Center, \$691,820. P.A. Rice, MD. Principal Investigator. Source National Institutes of Health.
- \*5. RO1 AI 44151-02 (04/01/99 11/30/03). Gyn Infection Follow Through (GIFT) Study, Roberta Ness, MD Principal Investigator. Source National Institutes of Health. Sub-contract, \$31,204. P.A. Rice, M.D., Co-I.
- \*6. PO1 AI 46518 (12/01/99 05/31/05). Immunity to STDs in the Human Male Genital Tract, Deborah Anderson, MD Principal Investigator. Source National Institutes of Health.

Sub-contract, \$31,109. P.A. Rice, M.D., Co-I.

- \*7. Program Announcement 00080 (9/30/00 9/29/04). Optimizing Strategies to Provide Sexually Transmitted Diseases (STD) Partner Services, \$269,048. P.A. Rice, MD Principal Investigator. Source Centers for Disease Control and Prevention (CDC).
- ◆8. T32 AI52070-01 (07/01/02 06/30/07). Training Program in Host Pathogen Interactions, \$119,400. Peter A. Rice, MD Principal Investigator. Source National Institutes of Health

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<sup>+</sup> Clinical Service

<sup>\*</sup> Research

<sup>◆</sup> Training

- 1. RO1 AI 15633. Immunology of Infection with Neisseria gonorrhoeae, \$701,200. (12/01/78-11/30/88). Source National Institutes of Health.
- 2. Immunologic and Chemical Studies of Non-Typable Haemophilus influenzae in children with Otitis Media, \$55,902, (08/01/79-06/30/82). Source Charles H. Hood Foundation.
- 3. Immunochemical Studies of Infection with Neisseria gonorrhoeae, \$3,958, (08/01/78-07/31/79). Source Biomedical Research Support Grant.
- 4. Immunochemical Studies of Non-Typable Haemophilus influenzae in children with Otitis Media, \$3,000, (08/01/79-07/31/80). Source Biomedical Research Support Grant.
- 5. Purification of 19,000 M.W. Common Antigen from Neisseria gonorrhoeae, \$20,241, (01/01/85-12/31/85). Source Hygeia Sciences, Newton, MA.
- 6. Clinical Testing of Dupont's new test procedure for the direct detection of N. gonorrhoeae from urogenital secretions, \$47,207, (01/01/85-12/31/85). Source E.I. DuPont de Nemours & Co.
- 7. IM Cefmetazole (U-72791A), Cefoxitin or Penicillin for the Treatment of Uncomplicated Gonococcal Infections, \$146,000, (01/17/87-05/18/89). Source Upjohn Pharmaceutical Co., Kalamazoo, MI.
- Passive immunization of chinchillas with antibody directed against NT *H. influenzae* Protein 6 to prevent otitis media, \$6,598, (07/01/87-06/30/88). Sources Praxis Biologics, Rochester, N.Y.
- 9. Treatment of Nongonococcal Urethritis in Males with Intramuscular Trospectomycin Sulfate, \$22,880, (03/01/88-06/21/88). Source Upjohn Pharmaceutical Co., Kalamazoo, MI
- 10. RO-6240 (Fleroxacin) in the Treatment of Uncomplicated Gonorrhea: A Randomized, Open Study Versus Ceftriaxone, \$44,245, (08/23/88-07/10/89). Source Roche Pharmaceuticals, a division of Hoffmann-La Roche, Nutley, NJ).
- Development of rapid tests for sexually transmitted diseases, \$367,359, (6/01/83-7/23/90). Source Hygeia Sciences, Newton, MA.
- 12. Cooperating clinic for sexually transmitted diseases, \$2,510,642 (07/01/86-07/23/97). Commonwealth of Massachusetts, State Laboratory.
- Development of a culture facility for *Chlamydia trachomatis*, \$225,819, (08/01/86-06/30/90). Source Hygeia Sciences, Newton, MA.
- 14. Comparison of Oral Cefpodoxime Proxetil (U-76252) and Ceftriaxone in the Treatment of Uncomplicated Gonococcal Infection, \$17,157, (01/04/90-05/07/90. Source The Upjohn Company, Kalamazoo, MI.

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15. Treatment of Gold Occal Infections with Intramuscular Trospectomycin Suitate (0-63,366F) or Ceftriaxone Sodium (Rocephrin), \$21,475, (0-89-03/06/90). Source - The Upjohn Company, Kalamazoo, MI.

- 1 PO1 NS21914-ID #032-30-2447. Clinical Otolaryngologic Research Center. Source National Institutes of Health (NIND-CD) (09/01/85-08/31/90). Gerald B. Healy, M.D. P.I.
   Subproject: Experimental models of bacterial Otitis Media, \$390,921, (12/01/85-12/31/90). PI's S.I. Pelton, M.D. and P.A. Rice, M.D.
- 17. Azithromycin in the Treatment of Chlamydial Urethritis/Cervicitis; A Multicenter Comparative Trial", \$12,000, (07/01/90-12/31/90). Source Pfizer, Inc.
- 18. Clinical Investigation of PB Diagnostic Systems, Inc. OPUS <sup>™</sup> HIV 1+2 Test System, \$29,618, (07/01/90-08/31/91). Source PB Diagnostics.
- 19. A Multicenter Open Comparative Trial of Azithromycin and Ceftriaxone in Patients with Uncomplicated Gonococcal Urethritis/Cervicitis, \$128,250, (10/01/90-09/30/91). Source Pfizer, Inc.
- 20. Ciprofloxacin vs. Standard Antibiotic Therapy, \$6,600, (02/01/91-07/31/91). Source Parexel International Corporation..
- 21. Diagnostic Testing for N. gonorrhoeae, \$13,500, (05/01/91-09./30/91). Source Unipath Limited.
- PO1 AI 24760. Clinical and Laboratory Studies of Pelvic Inflammatory Disease (PID), \$2,384,935, (06/01/87-05/31/92). P.A. Rice, M.D. Principal Investigator; Source National Institutes of Health.
- An Open-Label Multi-Investigator Comparative Study of the Safety and Efficacy of Cefepime and Ceftazidime in the Treatment of Hospitalized Patients with Septicemia, \$2,000 (05/01-07/31/92). P.A. Rice, M.D., Co-Principal Investigator. Source Bristol Myers.
- 24. The Alternative Test Site Program for HIV Antibody Testing, \$129,823. (07/01/91-12/30/93). P.A. Rice, M.D. Principal Investigator. Source Commonwealth of Massachusetts, State Laboratory.
- 25. RFP 200-88-0649. Sentinel Hospital Surveillance System for HIV Infection, \$420,030 (10/01/88-9/30/93). P.A. Rice, M.D. Principal Investigator. Source Centers for Disease Control and Prevention.
- 26. Study of Ceftin for treatment of N. gonorrhoeae (75 evaluable patients), \$79,500 (07/01/92-06/30/93). P.A. Rice, M.D., Co-Principal Investigator. Source Glaxo Pharmaceuticals.
- 27. Pre-Clinical Evaluation of HBsAg, \$7,445 (07/01/92-06/30/93). P.A. Rice, M.D., Principal Investigator. Source PB Diagnostic Systems, Inc.

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T-005 P.031/067 F-010 Aug-19-03 12:49pm From-

NO1-AI82507. Conaborative Prospective Cohort Studies of Permatar Transmission of 28. HIV and Retroviral Infections, \$535,244 - Core Laborators (101/89-06/30/93). Ruth Tuomala, M.D. - Principal Investigator. Source - National Institutes of Health.

- New pharmaceutical agent for treatment of gonorrhea and chlamydia infection, \$81,428 29. (07/01/94-06/30/95). P.A. Rice, M.D. - Principal Investigator. Source - Otsuka.
- PO1 AI 33087 (09/01/92-04/30/96). Clinical and Laboratory Studies of PID, \$2,503,011. 30. P.A. Rice, M.D. - Principal Investigator. Source - National Institutes of Health.
- REP 200-93-0622 (09/27/93-06/30/96). Sentinel Hospital Surveillance for HIV infection, 31. \$334,348. P.A. Rice, M.D. - Principal Investigator. Source - Centers for Disease Control and Prevention.
- A Randomized, Multicenter, Double-Blind, Double Dummy Comparative Study of CP-32. 99,219 and Doxycycline for the treatment of Uncomplicated Chlamydial Urethritis/Cervicitis (07/01/95-06/30/96). \$31,320. P.A. Rice, M.D. - Principal Investigator. Source - Corning Besselaar, Inc.
- UO1 AI 34856 (07/01/93-06/30/97). Collaborative Prospective Cohort Studies of 33. Perinatal Transmission of HIV and Retroviral Infections. Ruth Tuomala, M.D. -Principal Investigator. Source - National Institutes of Health. \*\*Core laboratory, \$323,512. P.A. Rice, M.D., P.I.
- A Randomized, Double-Blind, Multicenter, Phase III Study of Two Single Dose Regimens 34. of Gatofloxacin and a single dose of Ofloxacin in the treatment of Uncomplicated Gonococcal Infections. (01/01/98 - 05/01/98). \$20,000. Peter A. Rice, Principal Investigator; Source - Bristol-Myers Squibb Company.
- RO1 AI 32725 (01/01/93-12/31/97). Immunology of Infection with Neisseria 35. gonorrhoeae. \$894,972. Peter A. Rice, MD - Principal Investigator. Source - National Institutes of Health.
- A Prospective Randomized Open-Label Study to Treat Silent Endometritis, (10/01/95-36. 06/30/98) \$217,800, P.A. Rice, M.D. - Principal Investigator. Source - Ortho-McNeil Pharmaceuticals.
- U19 AI 38515 (07/01/95-06/30/99). Sexually Transmitted Disease Coop. Research 37. Centers, \$5,152,315. P.A. Rice, M.D. - Principal Investigator. Source - National Institutes of Health.
- The Factive™ Study to treat Chlamydial and Non-gonococcal Urethritis, (06/01/99 38. 10/31/99) \$19,500,. P.A. Rice, M.D. - Principal Investigator. Source - Smith Kline and Beecham Pharmaceuticals, Collegeville, PA.
- Cobas Amplicor Chlamydia trachomatis Clinical Trial, (1999-2001) \$50,000., P.A. Rice, 39. MD. - Principal Investigator. Source - Hoffmann-La Roche, Inc.
- U01 AI 39226-05 (10/01/95-09/30/00). STD Diagnostic Development Group. Roger N. 40. Piasio - Principal Investigator. Source - National Institutes of Health. Sub-contract, \$541,866. P.A. Rice, M.D., P.I.

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#### **PUBLICATIONS**

- 1. Gangarosa, EJ, Barker, WH, Jr., Baine, WB, Morris, GK, and Rice, PA. Man vs animal feeds as the source of human salmonellosis. Lancet 1: 878-879, 1973.
- Weissman, JB, Rice, PA, Krogstad, DJ, Baine, WB, and Gangarosa, EJ. Risk of severe intestinal infection to the traveler in Mexico. J. Infect. Dis. 128: 574-578, 1973.
- 3. Levine, MM, Rice, PA, Gangarosa, EJ, Morris, GK, Snyder, MJ, Formal, SB, Wells, JB, and Hammond, J. An outbreak of Sonne Shigellosis in a population receiving oral attenuated shigella vaccines. Amer. J. Epid. 99: 30-36, 1974.
- 4. Rice, PA, Craven, PC, and Wells, JG. Salmonella heidelberg, enteritis and bacteremia: an epidemic on two pediatric wards. Amer. J. Med. 60: 509-516, 1974.
- Kasper, DL, Rice, PA, and McCormack, WM. Bactericidal antibody in genital infection due to Neisseria gonorrhoeae. J. Infect. Dis. 135: 243-251, 1977.
- 6. Baine, WB, Farmer, JJ, Gangarosa, EJ, Hermann, G, Thomsberry, C, and Rice, PA. Typhoid in the United States associated with the 1972-73 epidemic in Mexico. J. Infect. Dis. 135: 49-53, 1977.
- 7. Rice, PA, Baine, WB, and Gangarosa, EJ. Salmonella typhi infection in the United States, 1967-72: Increasing importance of foreign travelers. Amer. J. Epid. 106: 160-166, 1977.
- 8. Rice, PA, and Kasper, DL. Characterization of gonococcal antigens responsible for induction of bactericidal antibody in disseminated infection: the role of gonococcal endotoxins. J. Clin. Invest. 60: 1149-58, 1977.
- 9. Kasper, DL, and Rice, PA. Antigenic specificity of lipopolysaccharides to the bactericidal antibody response in gonococcal infection. In Immunobiology of Neisseria gonorrhoeae. Geo. F. Brooks, et al (eds.), American Society for Microbiology, Washington, DC, 1978, p. 187.
- 10. Posner, MR, Berk, S, and Rice, PA. Pneumococcal sepsis diagnosed by peripheral blood smear in multiple myeloma. Arch. Int. Med. 138: 1720-1721, 1978.
- 11. Rice, PA, and Loewenstein, MS. Epidemiology of Non-typhoid Salmonellosis: Present-Day Transmission Patterns, with special reference to Nosocomial Infections. Public Health Rev. 8: 155-176, 1979
- 12. Rice, PA. Bacterial Meningitis. In Current Therapy. Howard F. Conn (ed), W.B. Saunders Co., Philadelphia, PA, 1980, p. 41.

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T-005 P.033/067 F-010 Aug-19-03 12:50pm From-

Rice, PA, Nugeri, SF, and Kasper, DL. Antibodies that block killing of tversseries 13. gonorrhoeae aredirected against outer membrane proteins. Current Chemotherapy and Infectious Disease. John D. Nelson and Carlo Grassi (eds.), American Society for Microbiology, Washington, DC, 1980, p. 1237.

- Rice, PA, and Baine, W. Prolonged intermittent diarrhea after Shiga dysentery; post-14. dysentery syndrome. South. Med. J. 73: 381-383, 1980.
- Rice, PA, McCormack, WM, and Kasper, DL. Natural serum bactericidal activity 15. against Neisseria gonorrhoeae isolates from disseminated, locally invasive and uncomplicated disease. J. Immunol. 124: 2105-2109, 1980.
- Rice, PA, Huff, PM, Lamb, KJ, and O'Brien, JP. Neisseria gonorrhoeae surface antigens: 16. their interaction with human sera. In Genetics and Immunobiology of Pathogenic Neisseria, Dan Danielson and Staffan Normack (eds.), Norrlands-tryck i Umea, AB, Umea, 1980, p. 255.
- Rice, PA, and Goldenberg, DL. Clinical syndromes produced by Neisseria gonorrhoeae 17. from disseminated infection are linked to serum sensitivity of infecting strains. Ibid. p. 283.
- Berk, S, Rice, PA, Reynholds, CA, and Finland, M. Pneumococcal pericarditis: a 18. persisting problem in contemporary diagnosis. Amer. J. Med. 70: 247-251, 1981.
- Rice, PA. Bacterial meningitis. In Current Therapy, Howard F. Conn (ed.), W.B. 19. Saunders Co., Philadelphia, PA, 1981, p. 41.
- Rice, PA, and Goldenberg, DL. Clinical manifestations of disseminated infection caused 20. by Neisseria gonorrhoeae are linked to differences in bactericidal reactivity of strains. Ann. Int. Med. 95: 175-178, 1981.
- Rice, PA, and Kasper, DL. Characterization of serum resistance of Neisseria 21. gonorrhoeae that disseminate: the roles of blocking antibody and gonococcal outer membrane proteins. J. Clin. Invest. 70:157-167, 1982.
- Goldenberg, DL, Chisholm, PL, and Rice, PA. Experimental models of bacterial 22. arthritis: A microbiologic and histopathologic characterization of the arthritis following the intra-articular injections of Neisseria gonorrhoeae, Staphylococcus aureus, group A streptococci, and Escherechia coli. J. Rheumatol. 10: 5-11, 1983.
- O'Brien, JP, Goldenberg, DL, and Rice, PA. Disseminated gonococcal infection: A 23. prospective analysis of 49 patients and a review of pathophysiology and immune mechanisms. Medicine 62: 395-406, 1983.
- DeMaria, A, Rice, PA, and McCabe, WR. Bacterial, Rickettsial and Viral Diseases. In 24. Medicine, Essentials of Clinical Practice, Third Edition, Robert W. Wilkins and Norman G. Levinsky (eds.), Little, Brown and Company, Boston, MA. 1983, p. 56.
- McCabe, WR, and Rice, PA. Gastroenteritis and Infectious Diarrheas. In Medicine, 25. Essentials of Clinical Practice, Third Edition, Robert W. Wilkins and Norman G. Levinsky (eds.), Little, Brown and Company, Boston, MA. 1983, p. 428.

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Platt, R., Rice, P., and McCormack, WM. Risk of acquiring gonomies and prevalence of 26. abnormal adnexal findings among women recently exposed gonorrhea. JAMA 250: 3205-3209, 1983.

- Goldenberg, DL, Reed, JL, and Rice, PA. Arthritis induced by killed Neisseria 27. gonorrhoeae and gonococcal lipopolysaccharide: An experimental model of reactive arthritis. J. Rheumatol. 11:1-8, 1984.
- Goldenberg, DL, and Rice, PA. Disseminated gonococcal infection: current 28. understanding of the clinical manifestations, laboratory features, and pathogenesis. In Progress in Clinical Rheumatology, Vol. I, A.S. Cohen (ed.), Grune and Stratton, New York, N.Y. 1984, p. 179.
- Rice, PA, and Dale, PA. Infections of the genitourinary tract in women: Selected 29. Aspects. In Advances in Internal Medicine, G.H. Stollerman, (ed.), Yearbook Medical Publishers, Chicago, Ill., 1984. p. 53.
- Rice, PA: Avances recientes en infeccion gonococica (Recent Advances in gonococcal 30. infection) Infectologia 11:297-302, 1984.
- Rice, PA. Acute Bacterial meningitis. In Current Diagnosis 7, Rex B. Conn (ed.), 31. W.B. Saunders Co., Philadelphia, PA., 1985, p. 909.
- Karasic, RB, Trumpp, CE, Gnehm, HE, Rice, PA and Pelton, SI. Modification of otitis 32. media in chinchillas rechallenged with nontypable Haemophilus influenzae and serologic response to outer membrane antigens. J. Infect. Dis 151:273-279, 1985.
- Gnehm, HE, Pelton, SI, Gulati, S, and Rice, PA. Characterization of antigens from 33. nontypable Haemophilus influenzae recognized by human bactericidal antibodies: The role of Haemophilus outer membrane proteins. J. Clin. Invest. 75:1645-1658, 1985.
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#### Patents:

(1) Immunological Diagnosis of Gonococcal Infection Using a Conserved Surface Protein Antigen of Neisseria gonorrhoeae - Peter A. Rice; L. Edward Cannon; T. Philip Wong and Wendy E. Jones.

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Canada: No. 1,310,903, 12/01/92

#### Pending:

U.S.: Serial No. 07/688, 714, filed 10/22/87

(2) Gonococcal Anti-idiotypic Antibodies and Methods and Compositions Using them - Peter A. Rice, Sunita Gulati and Daniel P. McQuillen.

#### Awarded:

U.S.: BOS-1, No. 5,476, 784; 12/19/95 OAP1: BOS-1, No. 10187, 12/18/96 NEWZ: BOS-1, No. 265,000, 04/20/98 ASTL: BOS-1, No. 698,908, 02/25/99

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(3) Peptide mimics of Conserved Gonococcal Epitopes and Methods and Compositions using them – Peter A. Rice, Jutamas Ngampasutadol and Sunita Gulati

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PCT: BOS-3, Serial No. PCT/US00/29749, filed 10/27/00

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# **EXHIBIT B**

# PEP1 (12 mer)

I P V L D E N G L F A P ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG

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### CA1(AB2) VL sequence

l 5 10 15 ELMMTQSPSSLTASL 15 GAG CTC GTG ATG ACA CAG TCT CCA TCC TCC CTG ACT GCA TCT CTG ←-----CDR 1-----16 20 24 30 G K V T I T C K A S Q D I N 16 GGA GGC AAA GTC ACC ATC ACT TGC AAG GCA AGC CAA GAC ATT AAC 34 40 45 K Y I A W Y Q H K P G K G P R AAG TAT ATA GCT TGG TAC CAA CAC AAG CCT GGA AAA GGT CCT AGG 46 50 56 60 LIHYTSTLQPGIPS CTG CTC ATA CAT TAC ACN TCT ACA TTA CAG CCA GGC ATC CCA TCA 65 70 F S G S G S G R D Y S F S I R AGG TTC AGT GGA AGT GGG TCT GGG AGA GAT TAT TCC TTC AGC ATC 76 80 85 SNLEPEDIATYYCLQ AGC AAC CTG GAG CCT GAA GAT ATT GCA ACT TAT TAT TGT CTA CAG ------> 91 96 103 YDNLWTFGGGTKLE TAT GAT AAT CTG TGG ACG TTC GGT GGA GGC ACC AAG CTT GAA ATC Hind III

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### CA1 (AB2) VH sequence

3 6 10 Q G Q L L E S G G L V Q P G G CAG GTG CAA CTG CTC GAG TCT GGG GGA GGT TTA GTG CAG CCT GGA GGG ←CDR1-17 20 23 26 30 31 S L K L S C A A S G F T F S S 17 TCC CTG AAA CTC TCC TGT GCA GCC TCT GGA TTC ACT TTC AGT AGC TAT 35 35 40 45 48 T M S W V R Q T P E K R L E W V ACC ATG TCT TGG GTT CGC CAG ACT CCA GAG AAG AGG CTG GAG TGG GTC -----CDR2-----49 50 52 52a 55 60 A Y I S N G G G S T Y Y P D GCA TAC ATT AGT AAT GGT GGT AGC ACC TAC TAT CCA GAC ACT GTA 65 70 75 79 K G R F T I S R D N A K N T L Y AAG GGC CGA TTC ACC ATC TCC AGA GAC AAT GCC AAG AAC ACC CTG TAC 80 82 82a 82b 82c 83 85 90 92 L Q M S S L K S E D T A M Y Y C CTG CAA ATG AGC AGT CTG AAG TCT GAG GAC ACG GCC ATG TAT TAC TGT ←-----CDR3-----102 93 95 108 R H G Y Y A M D Y W G Q G T S GCA AGA CAT GGT TAC TAT GCT ATG GAC TAC TGG GGT CAA GGA ACC TCA 109 113 117 V T V S S A N S K 109 GTC ACC GTC TCC TCA GCG AAT TCT AAG

### **BLAST 2 SEQUENCES**

This tool produces the alignment of two given sequences using <u>BLAST</u> engine for local alignment. The stand-alone executable for blasting two sequences (bl2seq) can be retrieved from <u>NCBI ftp site</u>

Reference: Tatiana A. Tatusova, Thomas L. Madden (1999), "Blast 2 sequences - a new tool for comparing protein and nucleotide sequences", FEMS Microbiol Lett. 174:247-250

and necessary sequences
Program blastn Matrix Not Applicable +
Parameters used in BLASTN program only:  Reward for a match: Penalty for a mismatch: -2
☐ Use Mega BLAST Strand option Both strands
Open gap 5 and extension gap 2 penalties gap x_dropoff 50 expect 10.0 word size 11 Filter Align
Sequence 1 Enter accession or GI PEP1 or download from file
or sequence in FASTA format from: 0 to: 0
ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG
Sequence 2 Enter accession or GI CA1 VH or download from file
or sequence in FASTA format from: 0 to: 0
AGC TAT ACC ATG TCT TGG GTT UGC CAG ACT CCA GAG AAG AGG CTG GAG TGG GTC GCA TAC ATT AGT AAT GGT GGT GGT AGC ACC TAC TAT CCA GAC ACT GTA AAG GGC CGA TTC ACC ATC TCC AGA GAC AAT GCC AAG AAC ACC CTG TAC CTG CAA ATG AGC AGT CTG AAG TCT GAG GAC AAG AAC ACC CTG TAC CTG CCA AGA CAT GGT TAC TAT GCT ATG GAC
ACG GCC ATG TAT TAC TGT GCA ACC GTC TCC TCA GCG AAT TCT TAC TGG GGT CAA GGA ACC TCA GTC ACC GTC TCC TCA GCG AAT TCT AAG
Align Clear Input

Comments and suggestions to blast-help@ncbi.nlm.nih.gov

http://www.ncbl.nlm.nih.gov/blast/bl2seq/ bl2.html



# Blast 2 Sequences results

# BLAST 2 SEQUENCES RESULTS VERSION BLASTN 2.2.5 [Nov-16-2002]

Match: 1 Mismatch: -2 gap open: 5 gap extension: 2

x\_dropoff: 50 expect: 10.0 wordsize: 11 Filter ✓ Align

Sequence 1 lcllseq\_1 Length 36

Sequence 2 lcllseq\_2 Length 363

No significant similarity was found

http://www.ncbi.nlm.nih.gov/blast/bl2seq/ wblast2.cgi?

### **BLAST 2 SEQUENCES**

This tool produces the alignment of two given sequences using <u>BLAST</u> engine for local alignment. The stand-alone executable for blasting two sequences (bl2seq) can be retrieved from <u>NCBI ftp site</u>

Reference: Tatiana A. Tatusova, Thomas L. Madden (1999), "Blast 2 sequences - a new tool for comparing protein and nucleotide sequences", FEMS Microbiol Lett. 174:247-250

and nucleonde sequences,
Program blastn  Matrix Not Applicable
Parameters used in <u>BLASTN</u> program only:  Reward for a match: 1 Penalty for a mismatch: -2
Use Mega BLAST Strand option Both strands
Open gap 5 and extension gap 2 penalties gap x_dropoff 50 expect 10.0 word size 11 Filter Align
Sequence 1 Enter accession or GI PEP1 or download from file
or sequence in FASTA format from: 0 to: 0
ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG
Security 2 Errer accession of GI CA1 VL or download from file
Sequence 2 Enter accession of the
or sequence in FASTA format from: 0 to: 0  GAG UTU GTG ATG AUA CAG TUT CUA TUU TUU UTG AUT GCA TUT CTG  GAG UTU GTG ATG AUA CAG TUT CUA TUU TUU UTG AUT GAC ATT AAC
AGG TTC AGT GGA AGT GGG TCT GGG AGA CAT TAT TAT TGT CTA CAG AGC AAC CTG GAG CCT GAA GAT ATT GCA ACT TAT TAT TGT CTA CAG TAT GAT AAT CTG TGG ACG TTC GGT GGA GGC ACC AAG CTT GAA ATC
TAT GAT AAT CTG TGG ACG TTC GGT GGT
Align Clear Input

Comments and suggestions to <u>blast-help@ncbi.nlm.nih.gov</u>

http://www.ncbi.nlm.nih.gov/blast/bl2seq/ bl2.html



### Blast 2 Sequences results

# BLAST 2 SEQUENCES RESULTS VERSION BLASTN 2.2.5 [Nov-16-2002]

Match: 1 Mismatch: -2 gap open: 5 gap extension: 2

x\_dropoff: 50 expect: 10.0 wordsize: 11 Filter Align

Sequence 1 lcllseq\_1 Length 36

Sequence 2 lcllseq\_2 Length 315

No significant similarity was found

### PEPI-3 p16 sequence

1	CCG P	Xho CTC L	GAG E For	AAA K war	AGA R 1 pri	GAG E <b>mer</b> -	GCT A	GAA ( E 	GCT A I	GGT G	CCG 2	ATT ( I -PEP	CCC P I	GTT ' V 	rtg L 	45 15
46 16	GAC D				TTA L							<b>TCC</b>				90 30
91 31	TGG W				GAC D											135 45
136 46					BanI <b>G GGC</b> G	TCI										180 60
181 61	CG	C TG	G GA	G GA		GA(	CAG	CAG	CTC	TAC Y	AAC N	GTA V	. GAG E	GCC A	AGT S	225 75
226 76					;A GG ; G - <b>-Spa</b>	G	T <i>TC</i>	G	A. GG. G	0	•	~	-		r CGG R -I	
271 91	A.P.	1 1 7C C(	-c T(	GG GA	AG GA E E	.G CC	T GA	C CA	G CA	G CI	C TA	C AA N	C GI V	'A GA ' E	G GCA A	315 105
316 106		_	^	^	AA G! E ! <b>Trip</b> !	7	F' .	SA CI		AG CO		<b>G</b> GG		351		

Enzyme cleavage sites are italicized.